A NOVEL AUTOMATED METHOD FOR THE IMPROVEMENT OF PHOTOGRAMMETRIC DTM ACCURACY IN FORESTS

NOVA AUTOMATSKA METODA ZA POBOLJŠANJE TOČNOSTI FOTOGRAMETRIJSKOG DTM-A U ŠUMAMA

Mateo GAŠPAROVIĆ¹, Anita SIMIC MILAS², Ante SELETKOVIĆ³, Ivan BALENOVIĆ⁴*

INTRODUCTION

UVOD

Accurate and reliable information of terrain surface, commonly represented using a Digital Terrain Model (DTM), is of a great importance to various environmental disciplines (Nelson et al., 2009). In forestry, DTMs are commonly used in forest inventory (Rahlf et al., 2015; Puliti et al., 2017, Balenović et al., 2017), in hydrological modelling (Furze et al., 2017), in disaster risk analysis (Ristić et al., 2017), and in various forestry operations including forest road network planning and design (Grigolato et al., 2017; Çalışkan and Karahalil, 2017a), timber utilization and harvesting (Çalışkan and Karahalil, 2017b; Duka et al., 2017).
However, accurate terrain modelling, either using terrestrial or remote sensing methods in the complex forest environment, is challenging as it often includes elevation errors that are hard to detect. Labour-intensive and time-consuming terrestrial surveys are difficult to obtain due to complex forest structure that often blocks satellite signals to Global Navigation Satellite Systems (GNSS) receivers or interrupts measurements with total stations. With the development of remote sensing technology, however, the collection of terrain information has become more practical and more feasible. The airborne Light Detection and Ranging (LiDAR) technology nowadays presents the most prominent and effective remote sensing method for DTM generation in complex forested areas (Gill et al., 2013; Stereńczak et al., 2016). Although many countries are capable of conducting nation-wide airborne LiDAR campaigns to produce DTMs, a comparatively large number of countries worldwide (e.g. European countries such as Croatia, Greece, Hungary, Slovakia, etc.) still rely on photogrammetrically-derived terrain data. In these countries, photogrammetrically-derived terrain data still present the national standard for DTM (Höhle and Potuckova, 2011). However, only a limited number of studies have evaluated the accuracy of photogrammetrically derived DTM (DTM_PHM) in forested areas either from aerial (Balenović et al., 2018; DeWitt et al., 2015; Gill et al., 2013) or satellite images (DeWitt et al., 2017; Hu et al., 2016). Studies confirmed a lower accuracy of DTM_PHM when compared to LiDAR DTM (DTM_LiD), commonly observed through a certain number of outliers (i.e., gross errors). Balenović et al. (2018) conducted a comparative accuracy assessment of DTM_LiD and DTM_PHM in dense lowland even-aged pedunculate oak forests in Croatia. The authors discovered that the nature of the national digital photogrammetric data (from which DTM was generated) considerably affected the DTM accuracy. After manual detection and elimination of the outliers from photogrammetric data, the accuracy of DTM_PHM was notably improved. Unlike the studies related to the accuracy of DTM_PHM, there are several studies related to DTM errors detection and accuracy improvements of free global DTMs (Tran et al., 2014) or DTMs derived from aerial (Schultz et al., 1999; López, 2002) and satellite data (Felícísimo et al., 2004).

To the best of the authors’ knowledge, no previous studies have considered the automatization of error detection and improvements of DTM_PHM in forested areas. The main aim of this study is to develop an automatic method for detection and elimination of elevation errors in photogrammetrically derived terrain data, and consequently to improve the vertical accuracy of DTM_PHM for lowland pedunculated oak forests in Croatia. The idea is to develop a fast, simple and efficient method, which will be applicable for this and other similar forested areas worldwide. This paper presents the continuation of the previous research conducted by Balenović et al. (2018), which confirmed the improvements of DTM_PHM accuracy after manual detection and elimination of the outliers.

**MATERIALS AND METHODS**

**MATERIJAL I METODE**

**Study area – Područje istraživanja**

The study area is the management unit Jastrebarski lugovi, located in the Pokupsko Basin forest complex. The area covers 2,005.74 ha of the state-owned productive lowland forests, located in Central Croatia, approximately 35 km southwest of Zagreb (Figure 1). Even-aged pedunculate oak (Quercus robur L.) forests of different age classes ranging from 0 to 160 years are the main forest type and cover approximately 77% of the study area. The oak stands are commonly mixed with other tree species such as common hornbeam (Carpinus betulus L.), black alder (Alnus glutinosa (L.) Geartn.), and narrow-leaved ash (Fraxinus angustifolia Vahl.). The rest of the study area (~20%) is covered by even-aged narrow-leaved ash forests aged between 0 to 80 years. The ash stands are predominantly homogeneous and occasionally mixed with other tree species such as black

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**Figure 1** Study area (background: satellite image Sentinel 2A from 6 August 2016; Source: ESA, 2016).

**Slika 1** Područje istraživanja (pozadina: satelitska snimka Sentinel 2A od 6. kolovoza 2016.g.; Izvor: ESA, 2016).
alder and pedunculate oak. The understory species, such as common hazel (*Corylus avellana* L.) and common hawthorn (*Crataegus monogyna* Jacq.), are present in the entire area. The terrain is flat with ground elevations ranging from 105 to 121 m a.s.l. For more details on forest stands and site characteristics of the study area, please refer to the papers of Ostrogović Sever et al. (2017) and Balenović et al. (2018).

Photogrammetric Digital Terrain Model (DTMPHM) – Fotogrametrijski digitalni model reljefa (DTM PHM)

To create the DTMPHM for the study area, an official digital terrain data for the territory of Croatia were used. The data consisted of three-dimensional vector data including line data (breaklines, formlines) and point data (spot heights, mass points) (Figure 2). The data were primarily obtained from manual stereo photogrammetric methods using aerial images with the ground sampling distance of ≤30 cm. DTMPHM in the raster format with a spatial resolution of 0.5 m was generated from the national digital terrain data with the triangulated irregular network (TIN) and linear interpolation techniques using the Global Mapper software (ver. 19, Blue Marble Geographics, Hallowell, Maine, USA). A detailed description of each vector data type as well as of the vertical accuracy assessment of DTMPHM for the present study area can be found in Balenović et al. (2018).

LiDAR Digital Terrain Model (DTMLiD) – LiDAR-ski digitalni model reljefa (DTM LiD)

The DTMLiD was provided by the Hrvatske Vode Ltd. (Zagreb, Croatia) in the raster format with a spatial resolution of 0.5 m. The LiDAR data were collected with an Optech ALTM Gemini 167 laser scanner under the leaf-on conditions in several surveys between 29 June and 25 August 2016. The resulting point densities considering ‘all returns’ and the ‘last return’ were 13.64 points·m−2 and 9.71 points·m−2.

**Table 1** Airborne LiDAR sensor and data characteristics.

<table>
<thead>
<tr>
<th>Parameter – Parametar</th>
<th>Specification – Specifikacija</th>
</tr>
</thead>
<tbody>
<tr>
<td>Platform – Platforma</td>
<td>Pilatus P6</td>
</tr>
<tr>
<td>Sensor – Senzor</td>
<td>Optech ALTM Gemini 167</td>
</tr>
<tr>
<td>Flying height – Visina leta (m)</td>
<td>720</td>
</tr>
<tr>
<td>Flying speed – Brzina leta (m·s⁻¹)</td>
<td>51</td>
</tr>
<tr>
<td>Pulse repetition frequency – Frekvencija ponavljana pulsa (kHz)</td>
<td>125</td>
</tr>
<tr>
<td>Scan frequency – Frekvencija skeniranja (Hz)</td>
<td>40</td>
</tr>
<tr>
<td>Field of view – Kut skeniranja (°)</td>
<td>±25</td>
</tr>
<tr>
<td>Swath width – Širina skeniranja (m)</td>
<td>671</td>
</tr>
<tr>
<td>Max No of returns per pulse – Max broj povrata po pulsu</td>
<td>4</td>
</tr>
<tr>
<td>Point density: all returns / last only – Gustoća točaka: svi povrati / samo zadnji povrat (points · m⁻² – točaka · m⁻²)</td>
<td>13.64 / 9.71</td>
</tr>
<tr>
<td>Horizontal / vertical accuracy – Horizontalna / vertikalna točnost (m)</td>
<td>0.15 / 0.08 ¹</td>
</tr>
<tr>
<td>Vertical accuracy – Vertikalna točnost: RMSE / ME / SD (m)</td>
<td>0.14 / 0.09 / 0.10 ²</td>
</tr>
</tbody>
</table>

¹ According to data provider, accuracies were based on a considerably larger area (which included forested and non-forested areas) than the one considered in this study.

² According to study of Balenović et al. (2018): the vertical accuracy of a raster DEM in the range of 0.5 m was evaluated over the part of the present study area (991.50 ha) using 22 ground checkpoints.
points m⁻², respectively. Characteristics of LiDAR sensor, data processing, and the accuracy of DTM_{LiDAR} are presented in Table 1.

**Method for an automatic detection of elevation errors in DTM_{PHM} – Metoda za automatsku detekciju visinskih pogrešaka u DTM_{PHM}**

An automatic method for elevation errors detection in DTM_{PHM} for the lowland forest was developed using Grass GIS software (Figure 3). The recent study of Balenović et al. (2018) revealed that the gross errors (outliers) in DTM_{PHM} were caused by errors in the photogrammetric source data, primarily by the point data (mass and height points) used to generate DTM_{PHM}. Therefore, the presented method in this study focused exclusively on point data, while line data were not analyzed. Line objects representing embankment edges, forest roads, and river basins were excluded from the raster DTM_{PHM} by creating a 25-m buffer area around each feature, which is 50% less than the average distance of measured points for DTM. The slope analysis, performed on the raster DTM_{PHM}, distinguished areas with high slope inclination angles (S) that included both potential error points as well as error-free points of their neighborhood (Figure 4). To extract the error points from DTM_{PHM}, the method was complemented with the tangential curvature analysis (T) (Mitášová and Hofierka, 1993), where the tangential curvature represents the curvature orthogonal to the line of the steepest gradient (Alkhasawneh et al., 2013). The

![Figure 3](image-url)  
**Figure 3** The workflow of an automatic method for detection of elevation errors in DTM_{PHM}.

*Slika 3* Hodogram (tijek radnji) metode za automatsku detekciju visinskih pogrešaka u fotogrametrijskom digitalnom modelu reljefa (DTM_{PHM}).
output values of the analysis are always negative for concave DTM features and positive for convex DTM features (Figure 4) (Mitášová and Hofierka, 1993). When detecting error points, it does not matter if the points underestimate or overestimate the terrain, the absolute value $|T|$ was used to create the resultant raster. Analogously to the slope analysis, if the areas with high $|T|$ values ($|T|>0$) are in the nearest neighbourhood of a spot height or mass point, this may indicate a gross error at that point.

By combining the slope and tangential curvature using the expression: $R=|T|S$, the resultant raster ($R$) was calculated. From the resultant raster ($R$) the potential error point areas were selected (5% maximal values of $R$, according to Schultz et al., 1999) and extracted in a new binary raster $R_s$.

To simplify the raster geometry of selected areas, the two-step generalisation process (2 pixel expansion followed by -2.5 pixel shrinking) of the $R_s$ was performed ($R_s$,$R_s$) (Abalmeiko and Pridmore, 2012). In the final step, the generalised $R_s$ raster was vectorized and overlapped with the point vector data of the original DTM. The error points were detected and removed from DTM to produce the corrected point data DTM (DTM). The DTM and DTM were generated in the Global Mapper software because the triangulation process is much faster than in Grass GIS.

Accuracy assessment – Ocjena točnosti

To evaluate the proposed method, a difference raster model between DTM and DTM as well as between DTM.
and DTMLiD with a spatial resolution of 0.5 m were created using the Global Mapper software.

The normality test of vertical errors distribution between DTMPHM (original) and DTMPHMc (corrected), with DTMLiD for the entire area (EA) and three subset areas (SA-1, SA-2, SA-3).

Table 2: Vertical accuracy assessment of both DTMPHM (original) and DTMPHMc (corrected), with DTMLiD for the entire area (EA) and three subset areas (SA-1, SA-2, SA-3).

<table>
<thead>
<tr>
<th>Area Područe</th>
<th>Npix</th>
<th>Difference Model</th>
<th>Standard accuracy measures</th>
<th>Robust accuracy measures</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Rasterski model razlika</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>DTMPHM-DTMLiD</td>
<td>Npix</td>
<td>min</td>
</tr>
<tr>
<td>EA 80,210,299</td>
<td>91</td>
<td>-5.38</td>
<td>7.60</td>
<td>-0.19</td>
</tr>
<tr>
<td>SA-1 1,418,541</td>
<td>0</td>
<td>-1.22</td>
<td>0.67</td>
<td>-0.25</td>
</tr>
<tr>
<td>SA-2 1,582,000</td>
<td>11</td>
<td>-2.74</td>
<td>4.93</td>
<td>-0.24</td>
</tr>
<tr>
<td>SA-3 1,580,336</td>
<td>4</td>
<td>-1.63</td>
<td>7.60</td>
<td>-0.16</td>
</tr>
</tbody>
</table>

Npix - number of pixels considered in statistical analyses / broj piksela uključen u statističku analizu; Npix = number of detected and removed error points in original DTMPHM / broj detektiranih i uklonjenih pogrešaka (točaka) iz izvornog DTMPHM; min - minimum positive error / maksimalna pozitivna pogreška; max - maximum negative error / maksimalna negativna pogreška; ME - mean error / srednja pogreška; SD - standard deviation / standardna devijacija; RMSE - root mean square error / korišten srednja kvadratna pogreška; Q68.3 - 68.3% quantile / 68.3% kvantila; Q95 - 95% quantile / 95% kvantila

RESULTS WITH DISCUSSION
REZULTATI S DISKUSIJOM

For the entire study area, the method automatically detects 91 error points (outliers) or 3.2% of the total number of source points used to generate DTMPHM (Table 2). This means that, on average, one outlier occurs in the digital terrain source data within each 22.04 ha of the research area (0.05 outliers·ha⁻¹). Using the previously described manual method, Balenović et al. (2018) detected a total of 21 outliers at the same but the somewhat smaller area (991.50 ha). This means that, on average, one outlier was detected within each 47.21 ha (0.02 outliers·ha⁻¹). The greater number of outliers detected and eliminated by the automatic method leads to a considerably greater improvement of the DTMPHM vertical accuracy compared to the one obtained by the manual method, which is especially evident in subset areas 2 and 3 (Figure 1) according to several accuracy measures (Q68.3 max, SD, RMSE). Furthermore, the considerable decrease of Q4; and max values, as well as unchanged min values after removing the outliers indicate that only positive error points occur in DTMPHM when compared to reference DTMLiD.

The improvements in accuracy are also evident in Figure 6 and Figure 7. Namely, Figure 6a-c and Figure 7a,b show no change because error points are not detected in subset area 1. Conversely, Figure 6d-e and Figure 6g-i, as well as Figure 7c-f show the improvement in accuracy of DTMPHM, compared to DTMPHM for subset areas 2 and 3. Detected points are very noticeable in the difference raster in Figure 6d and Figure 6g while the justification for their removal is confirmed by vertical profile through exemplary areas (Figure 6f and Figure 6i). Furthermore, the elimination of outliers consequently leads to an improved coefficient of correlation (r) between DTMPHM and DTMLiD elevation values compared to r obtained between DTMPHM and DTMLiD elevation values (Figure 7).
Direct comparison with other similar studies (Felicísimo et al., 2004; López, 2002; Schultz et al., 1999; Tran et al., 2014) is hindered due to a number of differences between input data, DTMs resolutions, land cover type, and validation data. Yet, the methods presented in the previous studies improved the DTM accuracy, i.e. decreased the RMSE for 2% (Felicísimo et al., 2004), >2% (López, 2002), 21% (Tran et al., 2014), and 27% (Schultz et al., 1999). This study suggests an accuracy improvement as the RMSE values decreased by 8% and 50% in the two subset areas for which the validation was conducted. Considering the fact that, unlike our study, neither of the mentioned studies was dealing with the improvement of DTM accuracy in forested areas, the obtained results of this research add to the significance of the research. Moreover, to the best of our knowledge, this is the first study that proposes the automatic method for the vertical accuracy improvement of the DTM in forests.

Knowing the structure and characteristics of photogrammetrically derived DTMs (e.g. low density of points, lower accuracy) in forested areas of Croatia, one should keep in mind that:

Figure 6 Left: Difference models $\text{DTM}_{\text{PHM}} - \text{DTM}_{\text{LID}}$ for the part of the subset areas SA-1 (a), SA-2 (d), and SA-3 (g); Mid: Difference models $\text{DTM}_{\text{PHMc}} - \text{DTM}_{\text{LID}}$ for SA-1 (b), SA-2 (e), and SA-3 (h); Right: Vertical profile throughout the exemplary area marked with black line on difference models.

Slika 6. Lijevo: Rasterski model razlike $\text{DTM}_{\text{PHM}} - \text{DTM}_{\text{LID}}$ za dijelove područja SA-1 (a), SA-2 (d), i SA-3 (g); Sredina: Rasterski model razlike $\text{DTM}_{\text{PHMc}} - \text{DTM}_{\text{LID}}$ za SA-1 (b), SA-2 (e), i SA-3 (h); Desno: Vertikalni profil kroz odabrana područja označena crnom linijom na rasterskim modelima razlika.
Figure 7 DTM_{\text{pred}} and DTM_{\text{obs}} elevations in comparison with DTM_{\text{LID}} elevations for: (a), (b) subset area 1; (c), (d) subset area 2; (e), (f) subset area 3; (g), (h) entire study area.

Slika 7. Usporedba visinskih vrijednosti dobivenih iz DTM_{\text{pred}} i DTM_{\text{obs}} s visinskim vrijednostima dobivenim iz DTM_{\text{LID}} za: podpodručje 1 (a, b), podpodručje 2 (c, d), podpodručje 3 (e, f) i čitavo područje istraživanja (g, h).
mind that the applicability of this method is limited to mostly flat terrains. In other words, the method might not perform well for mountainous areas characterized by steep terrain; not because of the method inefficiency but rather due to a very low density of photogrammetric data in such forested areas. However, the method is expected to be highly applicable to forests with mostly flat terrain (slopes <10°), similar to those that occupy ~27% of a total forest area in Croatia (Ministry of Agriculture, 2016).

CONCLUSIONS
ZAKLJUČCI

This research presented a novel automated method for detection and removal of elevation errors in a photogrammetric DTM for forest areas characterized by flat terrain. By combining slope and tangential curvature values of raster DTM in the open source Grass GIS software, the method automatically detected and removed the elevation errors in a practical, fast and costless fashion. The comparison with the highly accurate LiDAR DTM confirmed that the presented method successfully detected and eliminated the elevation errors from photogrammetrically derived DTM in a dense lowland forest, and consequently greatly improved its vertical accuracy. Although the application of the method is limited to mostly flat terrain, the findings of this research could be of immense importance to other studies that consider similar forested areas particularly in the countries where the highly accurate LiDAR DTM are still unavailable.

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ZAHVALA

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REFERENCES
LITERATURA

Istraživanje je provedeno u nizinskim šumama na području gospodarske jedinice Jastrebarski lugovi, na kojima su u najvećoj mjeri zastupljene jednodobne sastojine hrasta lužnjaka (Quercus robur L.), a u malijskim šumama na području gospodarske jedinice Jastrebarski (Slika 1). Istraživanjem je obuhvaćena površina od 2.005,74 ha, na kojoj su u neposrednoj blizini Jastrebarskih šuma u većinu zastupljeno mješovito drveće, koje je predstavljalo najveću površinu.
Fotogrametrijski DTM (DTM_{PHM}) je izrađen iz digitalnih vektorskih podataka terena (prijelomnice, linije oblika, markantne točke terena i pravokutne mreže visinskih točaka) nabavljenih iz Državne geodetske uprave (Slika 2). Ti podaci predstavljaju nacionalni standard i jedini su dostupni podaci za izradu DTM-a u Hrvatskoj. Detaljan opis vektorskih podataka dan je u radu Balenović i dr. (2018). Prvo je iz digitalnih terenskih podataka izrađena nepravilna mreža trokuta, koja je potom linearnom interpolacijom pretvorena u rasterski DTM_{PHM} prostore rezolucije (veličine piksela) 0,5 m. Automatska metoda za detekciju i eliminaciju vertikalnih pogrešaka fotogrametrijskog DTM-a u nizinskih šumskim područjima razvijena je u slobodnom programskom paketu Grass GIS (Slika 3). Kombinacijom vrijednosti nagiba i tangencijalne zakrivenosti terena rasterskog DTM_{PHM} (Slika 4), automatnom metodom su detektirane 91 grube greške (engl. outliers). Drugim riječima, utvrđeno je da 91 točkasti vektorski objekt pogrešno prikazuje stvarnu visinu terena. Navedeni broj čini 3,2 % od ukupnog broja točkastih objekata korištenih za izradu DTM_{PHM}-a. Nakon eliminacije detektiranih pogrešaka izrađen je novi, korigirani fotogrametrijski DTM (DTM_{PHMc}).

Za ocjenu vertikalne točnosti izvornog (DTM_{PHM}) i korigiranog DTM-a (DTM_{PHMc}) korišten je visoko precizni DTM dobiven zračnim laserskim skeniranjem (DTM_{LiD}). U tu svrhu su izrađeni rasteri razlika između DTM_{PHM} i DTM_{LiD} te između DTM_{PHMc} i DTM_{LiD}. Kako je preliminarom analizom utvrđeno da vertikalne razlike između DTM_{PHM} i DTM_{LiD} nisu normalno distribuirane (Slika 5), za ocjenu točnosti su uz normalne mjere točnosti korištene i tzv. robusne mjere točnosti (Tablica 2). Dobiveni rezultati ukazuju na poboljšanje vertikalne točnosti fotogrametrijskog DTM-a primjenom razvijene automatske metode. To je posebice uočljivo na podpodručjima 2 i 3 (Slika 6 i 7) u kojima se nakon uklanjanja detektiranih grešaka, korijen srednje kvadratne pogreške (RMSE, engl. root mean square error) smanjio za 8 % odnosno 50 % (Tablica 2).

Na temelju dobivenih rezultata i usporedbe s DTM_{LiD} može se zaključiti da predložena metoda uspješno detektira i eliminira vertikalne pogreške fotogrametrijskog DTM-a u nizinskih šumskim područjima, te slijedom toga poboljšava njegovu vertikalnu točnost.

KLJUČNE RIJEČI: digitalni model reljefa (DTM), vertikalna točnost, LiDAR, nizinska šumska područja